

<b>Report to:</b>	<b>AUDIT COMMITTEE</b>
<b>Relevant Officer:</b>	Tracy Greenhalgh- Chief Internal Auditor
<b>Date of Meeting</b>	24 <sup>th</sup> September 2015

## **RISK SERVICES QUARTER ONE REPORT - 2015/2016**

### **1.0 Purpose of the report:**

1.1 To provide to the Audit Committee with a summary of the work completed by Risk Services in quarter one of the 2015/2016 financial year.

### **2.0 Recommendation(s):**

2.1 To note the findings from the Risk Services Quarterly report.

### **3.0 Reasons for recommendation(s):**

3.1 The report covers areas relevant to the work of the Committee in terms of internal audit, corporate fraud, risk and resilience.

3.2a Is the recommendation contrary to a plan or strategy adopted or approved by the Council? No

3.2b Is the recommendation in accordance with the Council's approved budget? Yes

3.3 Other alternative options to be considered:

N/a

### **4.0 Council Priority:**

4.1 The relevant Council Priorities are:

- Safeguard and protect the most vulnerable
- Create safer communities and reduce crime and anti-social behaviour
- Deliver quality services through a professional, well-rewarded and motivated workforce

### **5.0 Background Information**

- 5.1 Each quarter the Chief Internal Auditor produces a report summarising the work of Risk Services and this includes the overall assurance statements for all audit reviews completed in the quarter.

Does the information submitted include any exempt information?

No

**List of Appendices:**

Appendix 4a - Risk Services Quarter One Report

**6.0 Legal considerations:**

- 6.1 All work undertaken by Risk Services is in line with relevant legislation. This is particularly important when undertaking fraud investigations where a number of regulations need to be adhered to.

**7.0 Human Resources considerations:**

- 7.1 N/a

**8.0 Equalities considerations:**

- 8.1 N/a

**9.0 Financial considerations:**

- 9.1 All work has been delivered within the agreed budget for Risk Services.

**10.0 Risk management considerations:**

- 10.1 The primary role of Risk Services is to provide assurance that the Council is effectively managing its risks and provide support to all services in relation to risk and control. Risks that have been identified in the quarter are reported in the summary report.

**11.0 Ethical considerations:**

- 11.1 N/a

**12.0 Internal/ External Consultation undertaken:**

- 12.1 The Risk Services Quarterly Report was presented to the Corporate Leadership Team on the 28 July 2015.

**13.0 Background papers:**

- 13.1 N/a